



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Yick Ming Yeung et al.

Application No.: 10/790,234

Filed: March 2, 2004

For: EFFICIENT RATE ALLOCATION FOR MULTI-RESOLUTION CODING OF DATA

DATA

Browp Art Unit: 2621

Examiner: Unassigned

Confirmation No.: 9849

FIRST INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying copies of references cited in the specification are being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

In addition to the accompanying references, the specification contains citations to the ISO/IEC standards for the JPEG 2000 Image Coding System. Parts 1 and 2 of the ISO specification, combined, are over 600 pages long and are not being submitted herewith, since it is believed that the standards, per se, are not material to the patentability of the claimed subject matter. A copy of the standards can be made available in electronic format for the Examiner's convenience. Applicants respectfully request that the Examiner contact the undersigned if an electronic version is desired. If required, a paper copy of the entire specifications can also be provided.

FIRST Information Disclosure Statement
Application No. 10/790,234
Attorney's Docket No. 016660-209
Page 2

To assist the Examiner in making the references of record, the accompanying documents are listed on the attached form PTO-1449. It is respectfully requested that an initialed copy of this form be returned to the undersigned.

Respectfully submitted,

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Date <u>August 23, 2004</u>

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FIRST INFORMATION DISCLOSURE AND 2 3 STATEMENT BY APPLICANT

Com	nplete if Known
Application Number	10/790,234
Filing Date	March 2, 2004
First Named Inventor	Yick Ming Yeung et al.
Examiner Name	Unassigned
Attorney Docket Number	016660-209

			U.S. PATENT DOCUMENTS	
Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)

	FOREIGN PATENT DOCUMENTS										
					STATUS						
Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation	Partial Translation	Eng. Lang. Summary	Search Report	IPER	Abstract	Spec

NON-PATENT LITERATURE DOCUMENTS							
Examiner Initials	include name of the author (in CAPTTAL LETTENS), the of the appropriate), title of the item (nonk manazing in						
	*GREGORY K. WALLACE; The JPEG Still Picture Compression Standard; IEEE Transactions on Consumer Electronics; February 1992; pages xviii-xxxiv; Vol. 38; No. 1.						
	*CHARILAOS CHRISTOPOULOS et al.; The JPEG2000 Still Image Coding System: An Overview; IEEE Transactions on Consumer Electronics; November 2000; pages 1103-1127; Vol. 46; No. 4.						
	*DAVID TAUBMAN; High Performance Scalable Image Compression With EBCOT; IEEE Transactions on Image Processing; July 2000; pages 1158-1170; Vol. 9; No. 7.						
	*JAMES H. KASNER; Universal Trellis Coded Quantization; IEEE Transactions on Image Processing; December 1999; pages 1677-1687; Vol. 8; No. 12.						
	*TAKAHIKO MASUZAKI et al.; JPEG2000 Adaptive Rate Control For Embedded Systems; Proc. IEEE Int. Sym. On Circuits and Systems; May 2002; pages 333-336; Vol. 4.						
	*JOHN W. WOODS et al.; A Filter Based Bit Allocation Scheme For Subband Compression of HDTV; IEEE Transactions on Image Processing; July 1992; pages 436-440; Vol. 1: No. 3.						
	*MICHAEL D. ADAMS et al.; JasPer: A Software-Based JPEG-2000 Codec Implementation; Proc. IEEE Int. Conf. On Image Processing; October 2000; pages 53-56; Vol. 2.						
	*JEROME M. SHAPIRO; Embedded Image Coding Using Zerotrees of Wavelet Coefficients; IEEE Transactions on Signal Processing; December 1993; pages 3445-3462; Vol. 41; No. 12.						
	*AMIR SAID et al.; a New, Fast, and Efficient Image Codec Based on Set Partitioning in Hierarchical Trees; IEEE Transactions on Circuits and Systems for Video Technology; June 1996; pages 243-250; Vol. 6; No. 3.						
	*KUAN-FU CHEN; Analysis and Architecture Design of EBCOT for JPEG-2000; Proc. IEEE Int. Sym. Of Circuits and Systems; May 2001; pages 765-768; Vol. 2.						

*COPY PROVIDED

Examiner	Date
Signature	Considered
*EVALUED	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.